

HANDBOOK ADMINISTRATION (No 72) INSTRUMENT 2024

Powers exercised

- A. The Financial Conduct Authority (“the FCA”) makes this instrument in the exercise of the following sections of the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 137A (The FCA’s general rules);
 - (2) section 137T (General supplementary powers); and
 - (3) section 139A (Power of the FCA to give guidance).
- B. The rule-making provisions listed above are specified for the purposes of section 138G(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 20 December 2024.

Amendments to the Handbook

- D. The Prudential sourcebook for MiFID Investment Firms (MIFIDPRU) is amended in accordance with Annex A to this instrument.
- E. The Supervision manual (SUP) is amended in accordance with Annex B to this instrument.

Citation

- F. This instrument may be cited as the Handbook Administration (No 72) Instrument 2024.

By order of the Board
19 December 2024

Annex A

Amendments to the Prudential sourcebook for MiFID Investment Firms (MIFIDPRU)

In this Annex, underlining indicates new text and striking through indicates deleted text.

7 Governance and risk management

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7.7 ICARA process: assessing and monitoring the adequacy of liquid assets

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7.7.12 G This table belongs to *MIFIDPRU 7.7.11G*.

Non-core liquid asset	Haircut
...	
<i>Financial instruments</i> not covered above for which there is a liquid market as defined in article 42 <u>2</u> (1)(17) of <i>MiFIR</i> or article 42 <u>2</u> (1)(17) of <i>EU MiFIR</i>	55%
...	

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Annex B

Amendments to the Supervision manual (SUP)

In this Annex, striking through indicates deleted text.

16 Reporting requirements

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16.12 Integrated Regulatory Reporting

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Regulated Activity Group 8

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16.12.2 R The applicable *data items* referred to in SUP 16.12.4R are set out according to type of *firm* in the table below:

Description of <i>data item</i>	<i>Firms' prudential category and applicable data items (note 1)</i>			
	<i>MIFIDPRU investment firms</i>	<i>Firms other than MIFIDPRU investment firms</i>		
		<i>IPRU(INV) Chapter 3</i>	<i>IPRU(INV) Chapter 5</i>	<i>IPRU(INV) Chapter 13</i>
...				
Threshold conditions				Section F RMAR (note 17)
Client money and client assets	FSA039	FSA039	FSA039	Section C RMAR (note 13) or FSA039
...				

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