

**TRAINING AND COMPETENCE SOURCEBOOK (QUALIFICATIONS
AMENDMENTS No 16) INSTRUMENT 2017**

Powers exercised

- A. The Financial Conduct Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 ('the Act'):
- (1) section 137A (The FCA's general rules);
 - (2) section 137T (General supplementary powers); and
 - (3) section 138C (Evidential provisions).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 13 September 2017.

Amendments to the Handbook

- D. The Glossary of definitions is amended in accordance with Annex A to this instrument.
- E. The Training and Competence sourcebook (TC) is amended in accordance with Annex B to this instrument.

Citation

- E. This instrument may be cited as the Training and Competence Sourcebook (Qualifications Amendments No 16) Instrument 2017.

By order of the Board
12 September 2017

Annex A**Amendments to the Glossary of definitions**

In this Annex, underlining indicates new text.

- accredited body* any of the following bodies recognised by the *FCA* for the purpose of providing the independent verification required under *TC 2.1.27R*
- (a) CFA Society of the UK;
 - (b) The Chartered Insurance Institute;
 - (c) The Institute of Financial Planning (accredited body until 31 October 2015);
 - (d) The Chartered Institute for Securities and Investment;
 - (e) The Chartered Institute of Bankers in Scotland;
 - (f) The London Institute of Banking & Finance;
 - (g) The Institute of Chartered Accountants in England and Wales;
 - (h) The Pensions Management Institute.

Annex B

Amendments to the Training and Competence sourcebook (TC)

In this Annex, underlining indicates new text and striking through indicates deleted text.

Appendix 4 Appropriate Qualification tables

App 4.1 Appropriate Qualification tables

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App 4.1.1CG ...

Part 2: Appropriate Qualifications Tables

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Qualification provider	Qualification	Activity Number(s)	Key
...			
CFA Institute	<u>The CFA Institute Investment Foundations Certificate - (Formerly the Claritas Investment Certificate)</u>	15, 16, 17, 18, 19	4
...			
Chartered Institute for Securities and Investment (CISI) - (Formerly the Securities and Investment Institute (SII); formerly The Securities Association)	<u>Certificate in Pension Transfers and Planning Advice (when combined with an RDR compliant qualification for Activities 4 and 6)</u>	<u>11</u>	<u>1</u>
	Investment Advice Diploma (where candidate holds 3 modules including the private client advice module)	4 and 6	a

...			
Chartered Insurance Institute	...		
	Certificate in Mortgage Advice	20	1
	Certificate in Equity Release (Formerly known as Certificate in Financial Planning and Lifetime Mortgages)	21, 22	1
		23	4
			5
	Certificate in Equity Release	22	1
		23	4
		23	5
		23	6
	...		
...			
Institute of Financial Planning (<u>until 1 November 2015</u>)	Certified Financial Planner (<u>syllabus in force until 31 October 2015</u>)	4 and 6	b
	Fellowship (<u>syllabus in force until 31 October 2015</u>)		
...			