

## SUPERVISION MANUAL (REPORTING) INSTRUMENT 2016

### **Powers exercised**

- A. The Financial Conduct Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 137A (The FCA’s general rules);
  - (2) section 137T (General supplementary powers); and
  - (3) section 139A (Power of the FCA to give guidance).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

### **Commencement**

- C. (1) Part 1 of this instrument comes into force on 31 March 2016.  
(2) Part 2 of this instrument comes into force on 31 October 2016.

### **Amendments to the Handbook**

- D. The Supervision manual (SUP) is amended in accordance with the Annex to this instrument.

### **Citation**

- E. This instrument may be cited as the Supervision Manual (Reporting) Instrument 2016.

By order of the Board  
17 March 2016

## Annex

### Amendments to the Supervision manual (SUP)

In this Annex underlining indicates new text and striking through indicates deleted text, unless otherwise indicated.

**Part 1: Comes into force on 31 March 2016**

#### **16 Reporting requirements**

...

**16 Annex 19BG Notes for completion of the Mortgage Lenders & Administrators Return ('MLAR')**

...

#### **Section C: Capital**

...

**C3.2 Capital requirement**

This is the amount calculated in ~~section~~ in sections C4.6(e) or C5.5(c), whichever is applicable.

...

**Part 2: Comes into force on 31 October 2016**

#### **16.6 Compliance reports**

...

##### **Banks**

**16.6.4 R** ~~A bank must submit compliance reports to the FCA in accordance with SUP 16.6.5R~~ in the form specified in SUP 16 Annex 41R using the appropriate online systems available from the FCA's website.

...

After SUP 16 Annex 40R (Data items related to recovery and information for resolution plans) insert the following new annex. The text is not underlined.

**16 Compliance Reporting Return**  
**Annex**  
**41R**

This annex consists of a form. The form is to be found at the following address:

*List of Overseas Regulators and Organogram – SUP 16 Annex 41*

## REP010 List of Overseas Regulators and Organogram

### List of overseas regulators

#### Group Reporting

- 1 Does the information reported cover more than one entity?
- 2 If yes, list the firm reference numbers (FRNs) of all additional firms included in this report.

A

FRN

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|  |
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|  |

#### Nil Return

- 3 Do you wish to declare a nil return?

### Organogram

#### Group Reporting

- 4 Does the information reported cover more than one entity?
- 5 If yes, list the firm reference numbers (FRNs) of all additional firms included in this report.

A

FRN

|  |
|--|
|  |
|  |
|  |
|  |

#### Nil Return

- 6 Do you wish to declare a nil return?