## INTEGRATED PRUDENTIAL SOURCEBOOK (AMENDMENT) INSTRUMENT 2005

#### **Powers exercised**

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 ("the Act"):
  - (1) section 138 (General rule-making power); and
  - (2) section 156 (General supplementary powers).
- B. The rule-making powers listed above are specified for the purpose of section 153(2) (Rule-making instruments) of the Act.

## Commencement

C. This instrument comes into force on 1 December 2005.

## Amendments to the Integrated Prudential sourcebook

D. The Integrated Prudential sourcebook is amended in accordance with the Annex to this instrument.

#### Citation

E. This instrument may be cited as the Integrated Prudential Sourcebook (Amendment) Instrument 2005.

By order of the Board 17 November 2005

#### Annex

# Amendments to the Integrated Prudential sourcebook

In this Annex, underlining indicates new text and striking through indicates deleted text.

## PRU TP 1.1 Transitional Provisions

(1)	(2)	(3)	(4)	(5)	(6)
	Material to which the transitional provision applies		Transitional provision	Transitional provision: dates in force	Handbook provisions coming into force
5A	<i>PRU</i> 9.4.5R and <i>PRU</i> 9.4.7R	R	PRU 9.4.5R and PRU 9.4.7R have effect in respect of the use by a <i>firm</i> of the services of another <i>person</i> consisting of <i>insurance</i> <i>mediation</i> and provided from an establishment in an <i>EEA State</i> that has not implemented Article 3 (Registration) of the <i>IMD</i> , as if the condition in paragraph (4) of <i>PRU</i> 9.4.5R and the condition in paragraph (2) of <i>PRU</i> 9.4.7R were a condition that the <i>firm</i> has no reason to doubt the good repute, competence and financial standing of that <i>person</i> .	from 14 January 2005 to 14 January 2006-until the implementation of Article 3 of the IMD by the relevant EEA State	14 January 2005
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