

Listing particulars for professional securities market and certain other securities: all securities

Chapter 23

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23.1 Application and purpose

Application

- 23.1.1 **R** This chapter applies to an *issuer* that has applied for the *admission* of:
- (1) *securities* specified in article 1(2) of the *Prospectus Regulation* (other than *securities* specified in article 1(2)(a), (b) or (d) of that regulation); or
 - (2) any other *specialist securities* for which a *prospectus* is not required under the *Act* or the *Prospectus Regulation*.

Purpose

- 23.1.2 **G** The purpose of this chapter is to require *listing particulars* to be prepared and published for *securities* that are the subject of an application for *listing* in the circumstances set out in **UKLR 23.1.1R** where a *prospectus* is not required under the *Prospectus Regulation*.

Listing particulars to be approved and published

- 23.1.3 **R** An *issuer* must ensure that *listing particulars* for *securities* referred to in **UKLR 23.1.1R** are approved by the *FCA* and published in accordance with **UKLR 23.3.5R**.
- [**Note:** Under **UKLR 3.2.11R**, the *securities* will only be *listed* if *listing particulars* for the *securities* have been approved by the *FCA* and published.]