

Chapter 2

Listing Principles

2.1 Application and purpose

Application

- 2.1.1 **R** The Listing Principles in **■ UKLR 2.2.1R** apply to every *listed company* in respect of all its obligations arising from the *listing rules, disclosure requirements, transparency rules and corporate governance rules*.
- 2.1.2 **G** This chapter is also relevant to *applicants* in relation to the confirmation in respect of procedures, systems and controls required by **■ UKLR 20.3.1R**.
[**Note:** The Procedures, Systems and Controls Confirmation Form can be found on the Primary Markets section of the *FCA's* website.]

Purpose

- 2.1.3 **G** The purpose of the Listing Principles is to ensure that *listed companies* pay due regard to the fundamental role they play in maintaining market confidence and ensuring fair and orderly markets.
- 2.1.4 **G** The Listing Principles are designed to assist *listed companies* in identifying their obligations and responsibilities under the *listing rules, disclosure requirements, transparency rules and corporate governance rules*. The Listing Principles should be interpreted together with relevant *rules and guidance* which underpin the Listing Principles.
- 2.1.5 **G** **■ DEPP 6 (Penalties)** and **■ EG 7** set out *guidance* on the consequences of breaching a Listing Principle.