

Chapter 18

Securitised derivatives: requirements for listing and continuing obligations



18.4 Disclosures

- 18.4.1

R

An *issuer* must submit to the *FCA* a copy of any document required by

■ UKLR 18.4.2R

 to

■ UKLR 18.4.4R

 at the same time as the document is issued, by uploading it to the *national storage mechanism*.
- 18.4.2

R

An *issuer* must notify a *RIS* of all notices to holders of *listed securitised derivatives* no later than the date of despatch or publication.
- 18.4.3

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Underlying instruments

An *issuer* must notify a *RIS* of any adjustment or modification it makes to the *securitised derivative* as a result of any change in or to the *underlying instrument*, including details of the underlying event that necessitated the adjustment or modification.
- 18.4.4

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Suspension of listing

An *issuer* must inform the *FCA* immediately if it becomes aware that an *underlying instrument* that is *listed* or traded outside the *United Kingdom* has been suspended.