

## Chapter 18

# Securitised derivatives: requirements for listing and continuing obligations



## 18.4 Disclosures

**18.4.1** **R** An issuer must submit to the FCA a copy of any document required by **■** UKLR 18.4.2R to **■** UKLR 18.4.4R at the same time as the document is issued, by uploading it to the *national storage mechanism*.

**18.4.2** **R** An issuer must notify a RIS of all notices to holders of *listed securitised derivatives* no later than the date of despatch or publication.

### Underlying instruments

**18.4.3** **R** An issuer must notify a RIS of any adjustment or modification it makes to the *securitised derivative* as a result of any change in or to the *underlying instrument*, including details of the underlying event that necessitated the adjustment or modification.

### Suspension of listing

**18.4.4** **R** An issuer must inform the FCA immediately if it becomes aware that an *underlying instrument* that is *listed* or traded outside the *United Kingdom* has been suspended.