

Chapter 11

Closed-ended investment funds: requirements for listing and continuing obligations



11.1 Application

- 11.1.1 **R** This chapter applies to a *closed-ended investment fund* with, or applying for a *listing of equity shares* in the *closed-ended investment funds* category.
- 11.1.2 **G** A *closed-ended investment fund* with *equity shares listed* under the *closed-ended investment funds* category may list further classes of *equity shares* under this category, provided the classes comply with **UKLR 5.4.3R** as modified by **UKLR 11.2.1R**. Further classes of *shares* may also be *listed* under the *non-equity shares and non-voting equity shares* category, provided they meet the conditions for that category.