

Funeral Plan: Conduct of Business sourcebook

Schedule 1 Recordkeeping requirements

Sch 1.1 G

The *guidance* in this table aims to give the reader a quick overall view of the relevant record keeping requirements in *SECN*.

Sch 1.2 G

It is not a complete statement of those requirements and should not be relied on as if it were.

Handbook reference	Notifier	Matter to be notified
SECN 2.5.1R	<i>Originator and sponsor</i>	<i>STS notification</i>
SECN 2.5.4R	<i>Originator and sponsor</i>	That a <i>securitisation</i> no longer meets <i>STS criteria</i>
SECN 9.5.4R(8)	<i>Securitisation repository</i>	That the information submitted to a <i>securitisation repository</i> is incomplete or inconsistent That the <i>securitisation repository</i> has not received written confirmation from the <i>reporting entity</i> that all required information about the <i>securitisation</i> has been provided to the <i>securitisation repository</i>
SECN 9.6.9D(6)	<i>Securitisation repository</i>	Any planned material changes to the applicant's information technology systems, before their implementation
SECN 9.6.22D(3)	<i>Securitisation repository</i>	Any breaches in the applicant's physical and electronic security measures Incident report, indicating the nature and details of the incident, the measures adopted to cope with the incident and the initiatives taken to prevent similar incidents

