

## Chapter 4

# Due diligence requirements

## 4.1 Application

### 4.1.1

**G** This chapter applies to:

- (1) *institutional investors who are not PRA-authorised persons or an occupational pension scheme, except for ■ SECN 4.3; and*
- (2) *in the case of ■ SECN 4.3, sponsors who are not PRA-authorised persons and who are established in the United Kingdom.*