

## Chapter 5

# Disclosure requirements

## 5.7 Other specific disclosures

### 5.7.1

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*Firms* are reminded that whether certain other specific disclosures must be made depends on how the *firm* chooses to operate its business. These disclosures include where the *firm*:

- (1) has entered into *third-party dashboard arrangements* (see ■ PDCOB 9.6.1R);
- (2) provides *data export to the customer* (see ■ PDCOB 11.4.1R, ■ PDCOB 11.4.2R and ■ PDCOB 11.4.6R);
- (3) provides *data export to the firm* (see ■ PDCOB 11.5.1R); or
- (4) provides *post-view services* (see ■ PDCOB 12.5.1R and ■ PDCOB 12.5.2G).