Chapter 16

Record keeping

16.7 Specific record-keeping requirements: choice architecture

16.7.1 **R** A *firm* must make and maintain a record of:

(1) all versions or iterations of the choice architecture, including the language and format used and the dates each version or iteration was made available to *customers*;

(2) how many *customers* select each of the choices presented to them by the *firm*; and

(3) each version of the exit communications presented to *customers*.