

**Record keeping**

# Chapter 16

## Record keeping

## 16.5 Specific record-keeping requirements: advertisements

### 16.5.1

**R**

- (1) A *firm* must make and keep a record of the advertisements which it places on the *pensions dashboard platform*, and how those advertisements are placed, including the size, prominence and positioning of the advertisements.
- (2) A *firm* must make and keep a record of the steps it has taken to comply with ■ PDCOB 6.3.4R.
- (3) A *firm* must make and keep a record of the remuneration it receives for placing advertisements.
- (4) A *firm* must make and keep a record of the checks which it has conducted to comply with ■ PDCOB 6.3.3R.