

Notifications

Chapter 15

Notifications

15.1 Notifications general provisions

- 15.1.1** **G** This chapter sets out the requirements imposed on *firms* to notify the *FCA* of certain issues. In addition to the requirements set out in this chapter, *firms* must also comply with the notification requirement contained in ■ SYSC 3.2.24R or ■ SYSC 4.1.5CR, as applicable.
- 15.1.2** **G** *Firms* are reminded that *PDCOB* also imposes requirements to notify *customers* of certain matters. Those requirements are set out elsewhere in *PDCOB*.