

## Chapter 7

# Listing Principles and Premium Listing Principles



## 7.1 Application and purpose

### Application

7.1.1

**R**

- (1) The Listing Principles in **LR 7.2.1 R** apply to every *listed company* in respect of all its obligations arising from the *listing rules, disclosure requirements, transparency rules and corporate governance rules*.
- (2) In addition to the Listing Principles referred to in (1), the Premium Listing Principles in **LR 7.2.1A R** apply to every *listed company* with a *premium listing* in respect of all its obligations arising from the *listing rules, disclosure requirements, transparency rules and corporate governance rules*.

### Purpose

7.1.2

**G**

The purpose of the Listing Principles and the Premium Listing Principles is to ensure that *listed companies* pay due regard to the fundamental role they play in maintaining market confidence and ensuring fair and orderly markets.

7.1.3

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The Listing Principles and, if applicable, the Premium Listing Principles are designed to assist *listed companies* in identifying their obligations and responsibilities under the *listing rules, disclosure requirements, transparency rules and corporate governance rules*. The Listing Principles and Premium Listing Principles should be interpreted together with relevant *rules and guidance* which underpin the Listing Principles and the Premium Listing Principles.

7.1.4

**G**

**DEPP 6 (Penalties)** and **EG 7** set out *guidance* on the consequences of breaching a Listing Principle or, if applicable, a Premium Listing Principle.