

Chapter 5

Vote Holder and Issuer Notification Rules



5.5 Acquisition or disposal by issuer of shares

- 5.5.1** **R** An *issuer of shares* must, if it acquires or disposes of its own *shares*, either itself or through a *person* acting in his own name but on the *issuer's* behalf, make public the percentage of voting rights attributable to those *shares* it holds as a result of the transaction as a whole, as soon as possible, but not later than four *trading days* following such acquisition or disposal where that percentage reaches, exceeds or falls below the thresholds of 5% or 10% of the voting rights.
- 5.5.1A** **R** ■ DTR 5.5.1R does not apply to a third-country *issuer* that falls within ■ DTR 5.11.4R.
- 5.5.2** **R** The percentage shall be calculated on the basis of the total number of *shares* to which voting rights are attached.
[Note: article 14 of the *TD*].
- 5.5.3** **G** Additional requirements in relation to a *listed company* which purchases its own *equity shares* are contained in ■ LR 12.4.6 R.