

Critical Third Parties sourcebook

Schedule 1 Recordkeeping requirements

Sch 1.1 G

The aim of the *guidance* in the following table is to give the reader a quick overall view of the relevant record keeping requirements.

Sch 1.2 G

It is not a complete statement of those requirements and should not be relied on as if it were.

Sch 1.3 G

Table: Record keeping requirements

Handbook reference	Subject of record	Contents of record	When record must be made	Retention period
CTPS 4.7.1R	Resources map	Identification of resources used to deliver, support and maintain <i>systemic third party services</i>	Within 12 months of designation, and ongoing thereafter	None specified
CTPS 6.1.2R	Interim and annual written self-assessment	Copy of that self-assessment	Completion of assessment	3 years
CTPS 14	General record keeping requirement about the provision of services to <i>firms</i>	Sufficient to enable each <i>regulator</i> to: (1) perform its <i>oversight functions</i> ; and (2) ascertain whether or not the <i>critical third party</i> has complied with its <i>CTP duties</i>	None specified Therefore, this is governed by the requirement in CTPS 14 described in column (3)	See column (4)

