

**Purpose and other material**

## Chapter 2

Purpose and other material

## 2.3 Other applicable parts of the Handbook

**2.3.1** **G** Virtually all the *rules* applicable to *critical third parties* are set out in *CTPS*. For the most part, a *critical third party* will not need to look at any other part of the *Handbook*.

**2.3.2** **G** The table in **CTPS 2.3.3G** sets out the other parts of the *Handbook* and related provisions that apply to a *critical third party* in its capacity as such. The table does not cover a *critical third party* that is also subject to the *Handbook* in another capacity such as an *authorised person* or a *person* whose *securities* have been admitted to the *official list*.

**2.3.3** **G** Table: Other parts of the Handbook and related material that apply:

Module	Chapter or section	Comments
<i>Glossary</i>	The whole of the <i>Glossary</i> applies	
<i>GEN</i>	<i>GEN 1.3</i> (Emergency) <i>GEN 2</i> (Interpreting the Handbook)	
<i>FEES</i>	Rows (zp) and (zq) of Part 1A of the table in <i>FEES 3.2.7R</i> (Table of application, notification, vetting and other fees payable to the FCA) and other parts of <i>FEES</i> relating to the payment of such fees.	These cover fees under sections 166(3)(b) and 166A(2)(b) of the <i>Act</i> , which relate to fees in relation to <i>skilled persons</i> .
<i>SUP</i>	<i>SUP 8</i> (Waiver and modification of rules)	While most of <i>SUP 8</i> applies to a <i>critical third party</i> , the procedures for applying for a <i>waiver</i> do not apply. The <i>FCA</i> will direct what the application procedure is if and when a <i>critical third party</i> wants to apply for a <i>waiver</i> .  <i>SUP 8.1.1AG</i> states that a reference in the chapter to a <i>firm</i> includes a reference to a <i>person</i>

Module	Chapter or section	Comments
		subject to <i>rules</i> made by the <i>appropriate regulator</i> which would include, among others, a <i>critical third party</i> .
DEPP	SUP 9 (Individual guidance) The whole of DEPP applies	
EG	The whole of EG applies	