

**Employers' Liability Insurance compliance return**

**Special Instructions**

Firms should upload copies of their Director's Certificate and Auditor's Report in PDF format only.

**Firm Details**

- 1 FRN
- 2 Firm Name

**Director's Certificate**

- 3 Is the firm materially compliant with ICOBS 8.4.4R(2) and ICOBS 8 Annex 1 in the production of the firm's employers' liability registers in the reporting period?
- 3.1 Please confirm that the Director's Certificate contains a description of the ways in which the firm, in its production of the register, is not materially compliant and of the steps, together with relevant timescales, that the firm is taking to ensure that the firm will be materially compliant as soon as practicable. This question relates to the requirement in SUP 16.23A.5R(1)(b).
- 3.2 How many policies are omitted from the register? *(as a proportion of the total number of policies required to be included in the register.)*
- 3.3 How many policies in the register contain incorrect or incomplete information? *(as a proportion of the total number of policies required to be included in the register.)*

**Director's Certificate upload**

- 4 Please upload a copy of the Director's Certificate here in PDF format

**Auditor's Report**

- 5 Does the Auditor's Report confirm the firm is materially compliant with ICOBS 8.4.4R(2) and ICOBS 8 Annex 1 in the production of its employers' liability registers in the reporting period?

**Auditor's Report upload**

- 6 Please upload a copy of the Auditor's Report here in PDF format