

REP016 - Retirement income stock and withdrawals flow data

NIL RETURN

1 Do you wish to declare a nil return?

A

GROUP REPORTING

2 Does the data reported in this return cover information relating to more than one entity? (NB: You should always answer 'No' if your firm is not part of a group)

3 If 'Yes' then list the firm reference numbers (FRNs) of all of the additional entities included in this return. Use the 'add' button to add additional FRNs

NOTIFICATION

Part 1 - Retirement income stock data

Uncrystallised stock data

	A	B
	Contract	Trust
4 How many defined contribution (DC) pension plans do you have in accumulation where the plan holder is aged 55 or over and has not accessed their pension?		
5 How many DC pension plans do you have with only uncrystallised assets where the plan holder is aged 55 or over and has at any time taken a lump sum payment via uncrystallised funds pension lump sum (UFPLS) ?		
6 How many DC pension plans do you have in accumulation where the plan holder is aged under 55 years old?		
7 How many DC pension plans do you have which are still solely in accumulation (uncrystallised) and have a guaranteed income benefit such as a guaranteed annuity rate (GAR), deferred annuity option, or guaranteed minimum pension (GMP)?		
8 What is your total value of uncrystallised assets under administration (AUA) in DC pension plans? (£)		

Partially crystallised stock data

9 How many DC pension plan holders do you have over 55 years old that have partly crystallised their pension plan (e.g. phased or drip feed drawdown)?

Crystallised stock data

10 How many drawdown (capped and flexi) plans do you have where 100% of the funds are crystallised?		
11 How many drawdown plans do you have where a PCLS has been paid but no income has ever been taken?		
12 What is the total value of crystallised assets under administration (AUA) in DC pension plans? (£)		

Payments from annuities, drawdown and UFPLS

13 In total how many annuities do you currently have in payment?

14 What was the total income paid on all your annuities in payment during the reporting period? (£)

15 What is the total number of plans where the plan holder made regular withdrawals by drawdown or UFPLS?

 If lower than 750, leave questions 17 -31 blank

16 What is the total number of plans where the plan holder made ad hoc partial withdrawals by drawdown or UFPLS?

 If 0, leave questions 32 and 33 blank

Part 2 - Withdrawals flow data

REGULAR WITHDRAWALS - Plan holders that have a regular UFPLS or drawdown payment set up - by age band

Questions 17 - 31 should only be completed by firms that reported 750 plans or more in question 15

	A	B	C	D	E
	Under 55	55-64	65-74	75-84	85+
17 Total value of regular withdrawals during the reporting period? (£)					
Number of plans where the plan holder(s) made regular partial withdrawals, by annual rate of withdrawal and age band:					
18 Less than 2% withdrawal in the reporting period					
19 Between 2% - 3.99% withdrawal in the reporting period					
20 Between 4% - 5.99% withdrawal in the reporting period					
21 Between 6% - 7.99% withdrawal in the reporting period					
22 Greater than or equal to 8% withdrawal in the reporting period					
Number of plans where the plan holder(s) made regular partial withdrawals, by use of advice and age band:					
23 Of the number of plans where the plan holder made less than 4% withdrawals in the reporting period, how many were advised sales?					
24 Of the number of plans where the plan holder made greater than or equal to 4% withdrawals in the reporting period, how many were advised sales?					

REGULAR WITHDRAWALS - Plan holders that have a regular UFPLS or drawdown payment set up - by pot size

Number of plans where the plan holder(s) made regular partial withdrawals, by annual rate of withdrawal and pot size:

	A	B	C	D	E	F
	Less than £10,000	£10,000 - £29,999	£30,000 - £49,999	£50,000 - £99,999	£100,000 - £249,999	£250,000 and above
25 Less than 2% withdrawal in the reporting period						
26 Between 2% - 3.99% withdrawal in the reporting period						
27 Between 4% - 5.99% withdrawal in the reporting period						
28 Between 6% - 7.99% withdrawal in the reporting period						
29 Greater than or equal to 8% withdrawal in the reporting period						

Number of plans where the plan holder(s) made regular partial withdrawals, by use of advice and pot size:

30 Of the number of plans where the plan holders were making less than 4% withdrawals in the reporting period, how many were advised sales?						
31 Of the number of plans where the plan holders were making greater than or equal to 4% withdrawals in the reporting period, how many were advised sales?						

AD-HOC WITHDRAWALS - Plan holders that do not have a regular payment set up but some UFPLS or drawdown payments were made

Questions 32 and 33 should only be completed by firms that reported 1 or more plans in question 16

	A	B	C	D	E	F
	Less than £10,000	£10,000 - £29,999	£30,000 - £49,999	£50,000 - £99,999	£100,000 - £249,999	£250,000 and above
32 Total value of ad hoc partial withdrawals during the reporting period? (£)						
33 Total number of plan holders that made ad hoc partial withdrawals during the reporting period?						

34 Please provide any comments about the answers provided in this return (up to a limit of 2000 characters).