

**Notice of intention to provide cross border services in another EEA state in accordance with the Mortgage Credit Directive (MCD) [SUP 13 Annex 9R]**

**FIRM NAME:**

**FRN:**

**Purpose of this form**

You should complete this form if you are a *UK firm* that wishes to exercise a passport right to provide *cross border services* in another *EEA State* under the *MCD*.

**Important information you should read before completing this form**

A *UK firm* can only use this form if it is entitled to provide *cross border services* into another *EEA State* subject to the conditions of the *MCD* (see Schedule 3A of the Financial Services and Markets Act 2000 (FSMA)). By completing this form, you are confirming this is the case. *UK firms* should consult the legislation or take legal advice both in the *UK* and in the relevant *EEA State(s)* if they are in any doubt.

We give guidance on this in the Supervision manual (*SUP*). In particular, a *UK firm* that wants to exercise an *EEA right* must have the specific activity included in its Scope of Permission.

**Filling in the form**

- 1.** If you are using your computer to complete the form, use the TAB key to move from question to question and press SHIFT TAB to move back to the previous question. Once completed, print the relevant sections and sign the declaration in section 4.
- 2.** If you are filling in the form by hand, use black ink, write clearly and, once you have completed the relevant sections, sign the declaration in section 4.
- 3.** All firms should answer sections 1, 2 and 3.
- 4.** Please e-mail this form to [passport.notifications@fca.org.uk](mailto:passport.notifications@fca.org.uk)

***Please send to:***

*Financial Conduct Authority  
25 The North Colonnade  
Canary Wharf  
London  
E14 5HS*

# 1 Contact details

## 1.1 Details of the person at the firm we should contact about this notification

Firm reference number	
Title	
Contact name	
Address Line 1	
Address Line 2	
Postcode	
Country	
Telephone number	
Fax number	
Email address	

## 2 Details of the services to be provided

### 2.1 Please indicate the *EEA State(s)* into which services are to be provided.

States required	
Austria	<input type="checkbox"/>
Belgium	<input type="checkbox"/>
Bulgaria	<input type="checkbox"/>
Cyprus	<input type="checkbox"/>
Croatia	<input type="checkbox"/>
Czech Republic	<input type="checkbox"/>
Denmark	<input type="checkbox"/>
Estonia	<input type="checkbox"/>
Finland	<input type="checkbox"/>
France	<input type="checkbox"/>
Germany	<input type="checkbox"/>
Gibraltar	<input type="checkbox"/>
Greece	<input type="checkbox"/>
Hungary	<input type="checkbox"/>
Iceland	<input type="checkbox"/>
Italy	<input type="checkbox"/>
Ireland	<input type="checkbox"/>
Latvia	<input type="checkbox"/>
Liechtenstein	<input type="checkbox"/>
Lithuania	<input type="checkbox"/>
Luxembourg	<input type="checkbox"/>
Malta	<input type="checkbox"/>
Netherlands	<input type="checkbox"/>
Norway	<input type="checkbox"/>
Poland	<input type="checkbox"/>
Portugal	<input type="checkbox"/>
Romania	<input type="checkbox"/>
Slovak Republic	<input type="checkbox"/>
Slovenia	<input type="checkbox"/>
Spain	<input type="checkbox"/>
Sweden	<input type="checkbox"/>
All States	<input type="checkbox"/>

#### Note to Question 2.1

*UK firms have the right to provide cross border services to Gibraltar. So, references in this form to an EEA State include references to Gibraltar (see the Financial Services and Markets Act (Gibraltar) Order 2001).*

### 2.2 Tell us the proposed date for the business to start<sup>\*</sup>.

Date	dd/mm/yy
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<sup>\*</sup> There may be restrictions on the date which business can start which arise from EU law. We will notify you if this applies.

### 3 Mortgage Credit Directive (MCD)

#### 3.1

1	Name of <i>MCD credit intermediary</i>	
2	Type of notification (first/change)	
3	Head office address	
4	If <i>MCD credit intermediary</i> is a natural person, date of birth	
5	E-mail	
6	Telephone number	
7	Fax number	
8	Services to be provided by the <i>MCD credit intermediary</i> in the host Member State	<input type="checkbox"/> offers/presents credit agreements <input type="checkbox"/> assists in preparatory/pre-contractual administration work <input type="checkbox"/> concludes credit agreements <input type="checkbox"/> provides advisory services
9	<i>Tied MCD credit intermediary</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<p>In case of a <i>tied MCD credit intermediary</i>:</p> <p>a) Name and registration number of the creditor(s) or groups to which the intermediary is tied in the host Member State</p> <p>b) whether the <i>MCD credit intermediary</i> is exclusively tied to only one creditor (name and type including their registration number)</p> <p>c) Confirmation that the creditors take full and unconditional responsibility for the activities of the <i>tied MCD credit intermediary</i></p>	<p>a) .....</p> <p>b) .....</p> <p>c) .....</p>

# 4 Declaration

**Note to Declaration**

If you are submitting this notification electronically you do not need to provide a signature here. However, you still need to have the authority to make this notification on behalf of the *firm*.

It is a criminal offence to knowingly or recklessly give us information that is false or misleading. If necessary, please seek appropriate professional advice before supplying information to us.

There will be a delay in processing the notification if any information is inaccurate or incomplete. And failure to notify us immediately of any significant change to the information provided may result in a serious delay in the notification process.

- **I understand it is a criminal offence knowingly or recklessly to give the FCA/PRA information that is false or misleading in a material particular.**
- **I confirm that the information in this form is accurate and complete to the best of my knowledge and belief.**
- **I confirm that I am authorised to sign on behalf of the *firm*.**

Name	
Position	
Signature	
Date	dd/mm/yy

I enclose the following sections (mark the appropriate section)

Section 1 – Contact details	<input type="checkbox"/>
Section 2 – Details of the services	<input type="checkbox"/>
Section 3 – Mortgage Credit Directive	<input type="checkbox"/>
Section 4 – Declaration	<input type="checkbox"/>